

6/30/2009

**55-R-09**

**A RESOLUTION**

**Authorizing the Interim City Manager to Sign a  
Local Agency Agreement for Federal Participation with the  
Illinois Department of Transportation for the  
Sheridan Road Rehabilitation Project  
(Sheridan Road between South Boulevard and Main Street)**

**WHEREAS**, the City has received one million, three hundred twenty thousand dollars (\$1,320,000.00) in Federal Highway Administration ("FHWA") funds to reconstruct 0.527 mile of Sheridan Road between South Boulevard and Main Street (hereinafter, "the Project"); and

**WHEREAS**, the Illinois Department of Transportation ("IDOT") administers said FHWA funds; and

**WHEREAS**, the State of Illinois shall contribute six hundred fifty thousand dollars (\$650,000.00) toward the cost of the Project; and

**WHEREAS**, the City shall contribute six hundred forty thousand dollars (\$640,000.00) toward the cost of the Project; and

**WHEREAS**, the City's expenditure of FHWA funds requires the City to execute a Local Agency Agreement for Federal Participation ("Agreement") with IDOT; and

**WHEREAS**, the City Council of the City of Evanston has determined that it is in the best interests of the City of Evanston to enter into said Agreement with IDOT.

**NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL  
OF THE CITY OF EVANSTON, COOK COUNTY, ILLINOIS:**

**SECTION 1:** That the Acting City Manager, Marty Lyons, is hereby authorized and directed to sign and the City Clerk authorized and directed to attest on behalf of the City of Evanston the Local Agency Agreement for Federal Participation between the City and the Illinois Department of Transportation marked as Exhibit A attached hereto and made a part hereof.

**SECTION 2:** That the Interim City Manager is hereby authorized and directed to negotiate any additional conditions of the Agreement as may be determined to be in the best interests of the City.

**SECTION 3:** That this Resolution 55-R-09 shall be in full force and effect from and after its passage and approval in the manner provided by law.



Elizabeth B. Tisdahl, Mayor

Attest:

  
Rodney Greene, City Clerk

Adopted: July 13, 2009

EXHIBIT A

**Local Agency Agreement for Federal Participation  
between the City of Evanston and the  
Illinois Department of Transportation for the  
Sheridan Road Reconstruction Project**



**Illinois Department of Transportation**

**Local Agency Agreement for Federal Participation**

Local Agency City of Evanston	State Contract X	Day Labor	Local Contract	RR Force Account
Section 08-00250-01-PV	Fund Type ARU/SRF	ITEP Number		

Construction		Engineering		Right-of-Way	
Job Number	Project Number	Job Number	Project Number	Job Number	Project Number
C-91-515-09	ARA-9003(299)				

This Agreement is made and entered into between the above local agency hereinafter referred to as the "LA" and the state of Illinois, acting by and through its Department of Transportation, hereinafter referred to as "STATE". The STATE and LA jointly propose to improve the designated location as described below. The improvement shall be constructed in accordance with plans approved by the STATE and the STATE's policies and procedures approved and/or required by the Federal Highway Administration hereinafter referred to as "FHWA".

**Location**

Local Name SHERIDAN ROAD Route FAU 2865 Length 0.527 MILES  
 Termini SOUTH BOULEVARD TO MAIN STREET

Current Jurisdiction LOCAL Existing Structure No \_\_\_\_\_

**Project Description**

Roadway Rehabilitation - Pavement resurfacing, curb and gutter and sidewalk removal and replacement, drainage improvements, pavement marking and signing.

**Division of Cost**

Type of Work	FHWA	%	STATE	%	LA	%	Total
Participating Construction	1,320,000	( * )	650,000	( ** )		( BAL )	1,970,000
Non-Participating Construction		( )		( )	430,000	( 100 )	430,000
Preliminary Engineering		( )		( )		( )	
Construction Engineering		( )		( )	210,000	( 100 )	210,000
Right of Way		( )		( )		( )	
Railroads		( )		( )		( )	
Utilities		( )		( )		( )	
Materials							
<b>TOTAL</b>	<b>\$ 1,320,000</b>		<b>\$ 650,000</b>		<b>\$ 640,000</b>		<b>\$ 2,610,000</b>

\* Maximum FHWA (ARU) funds NTE \$1,320,000 to be used first/ Maximum STATE participation NTE \$650,000. Non-participating construction includes but is not limited to Water Main work.

**NOTE:** The costs shown in the Division of Cost table are approximate and subject to change. The final LA share is dependent on the final Federal and State participation. The actual costs will be used in the final division of cost for billing and reimbursement.  
 If funding is not a percentage of the total, place an asterisk in the space provided for the percentage and explain above.  
 The Federal share of construction engineering may not exceed 15% of the Federal share of the final construction cost.

**Local Agency Appropriation**

By execution of this Agreement, the LA is indicating sufficient funds have been set aside to cover the local share of the project cost and additional funds will be appropriated, if required, to cover the LA's total cost.

**Method of Financing (State Contract Work)**

METHOD A---Lump Sum (80% of LA Obligation) \_\_\_\_\_  
 METHOD B--- \_\_\_\_\_ Monthly Payments of \_\_\_\_\_  
 METHOD C---LA's Share BALANCE divided by estimated total cost multiplied by actual progress payment.

(See page two for details of the above methods and the financing of Day Labor and Local Contracts)

THE LA AGREES:

- (1) To acquire in its name, or in the name of the state if on the state highway system, all right-of-way necessary for this project in accordance with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, and established state policies and procedures. Prior to advertising for bids, the LA shall certify to the STATE that all requirements of Titles II and III of said Uniform Act have been satisfied. The disposition of encroachments, if any, will be cooperatively determined by representatives of the LA, and STATE and the FHWA, if required.
- (2) To provide for all utility adjustments, and to regulate the use of the right-of-way of this improvement by utilities, public and private, in accordance with the current Utility Accommodation Policy for Local Agency Highway and Street Systems.
- (3) To provide for surveys and the preparation of plans for the proposed improvement and engineering supervision during construction of the proposed improvement.
- (4) To retain jurisdiction of the completed improvement unless specified otherwise by addendum (addendum should be accompanied by a location map). If the improvement location is currently under road district jurisdiction, an addendum is required.
- (5) To maintain or cause to be maintained, in a manner satisfactory to the STATE and FHWA, the completed improvement, or that portion of the completed improvement within its jurisdiction as established by addendum referred to in item 4 above.
- (6) To comply with all applicable Executive Orders and Federal Highway Acts pursuant to the Equal Employment Opportunity and Nondiscrimination Regulations required by the U.S. Department of Transportation.
- (7) To maintain, for a minimum of 3 years after the completion of the contract, adequate books, records and supporting documents to verify the amounts, recipients and uses of all disbursements of funds passing in conjunction with the contract; the contract and all books, records and supporting documents related to the contract shall be available for review and audit by the Auditor General and the department; and the LA agrees to cooperate fully with any audit conducted by the Auditor General and the department; and to provide full access to all relevant materials. Failure to maintain the books, records and supporting documents required by this section shall establish a presumption in favor of the STATE for the recovery of any funds paid by the STATE under the contract for which adequate books, records and supporting documentation are not available to support their purported disbursement.
- (8) To provide if required, for the improvement of any railroad-highway grade crossing and rail crossing protection within the limits of the proposed improvement.
- (9) To comply with Federal requirements or possibly lose (partial or total) Federal participation as determined by the FHWA.
- (10) (State Contracts Only) That the method of payment designated on page one will be as follows:
  - Method A - Lump Sum Payment. Upon award of the contract for this improvement, the LA will pay to the STATE, in lump sum, an amount equal to 80% of the LA's estimated obligation incurred under this Agreement, and will pay to the STATE the remainder of the LA's obligation (including any nonparticipating costs) in a lump sum, upon completion of the project based upon final costs.
  - Method B - Monthly Payments. Upon award of the contract for this improvement, the LA will pay to the STATE, a specified amount each month for an estimated period of months, or until 80% of the LA's estimated obligation under the provisions of the Agreement has been paid, and will pay to the STATE the remainder of the LA's obligation (including any nonparticipating costs) in a lump sum, upon completion of the project based upon final costs.
  - Method C - Progress Payments. Upon receipt of the contractor's first and subsequent progressive bills for this improvement, the LA will pay to the STATE, an amount equal to the LA's share of the construction cost divided by the estimated total cost, multiplied by the actual payment (appropriately adjusted for nonparticipating costs) made to the contractor until the entire obligation incurred under this Agreement has been paid.
- (11) (Day Labor or Local Contracts) To provide or cause to be provided all of the initial funding, equipment, labor, material and services necessary to construct the complete project.
- (12) (Preliminary Engineering) In the event that right-of-way acquisition for, or actual construction of the project for which this preliminary engineering is undertaken with Federal participation is not started by the close of the tenth fiscal year following the fiscal year in which this agreement is executed, the LA will repay the STATE any Federal funds received under the terms of this Agreement.
- (13) (Right-of-Way Acquisition) In the event that the actual construction of the project on this right-of-way is not undertaken by the close of the twentieth fiscal year following the fiscal year in which this Agreement is executed, the LA will repay the STATE any Federal Funds received under the terms of this Agreement.
- (14) (Railroad Related Work Only) The estimates and general layout plans for at-grade crossing improvements should be forwarded to the Rail Safety and Project Engineer, Room 204, Illinois Department of Transportation, 2300 South Dirksen Parkway, Springfield, Illinois, 62764. Approval of the estimates and general layout plans should be obtained prior to the commencement of railroad related work. All railroad related work is also subject to approval by the Illinois Commerce Commission (ICC). Final inspection for railroad related work should be coordinated through appropriate IDOT District Bureau of Local Roads and Streets office. Plans and preemption times for signal related work that will be interconnected with traffic signals shall be submitted to the ICC for review and approval prior to the commencement of work. Signal related work involving interconnects with state maintained traffic signals should also be coordinated with the IDOT's District Bureau of Operations. The LA is responsible for the payment of the railroad related expenses in accordance with the LA/railroad agreement prior to requesting reimbursement from IDOT. Requests for reimbursement should be sent to the appropriate IDOT District Bureau of Local Roads and Streets office.

Engineer's Payment Estimates in accordance with the Division of Cost on page one.

- (15) And certifies to the best of its knowledge and belief its officials:
- (a) are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) have not within a three-year period preceding this Agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements receiving stolen property;
  - (c) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, local) with commission of any of the offenses enumerated in item (b) of this certification; and
  - (d) have not within a three-year period preceding the Agreement had one or more public transactions (Federal, State, local) terminated for cause or default.
- (16) To include the certifications, listed in item 15 above and all other certifications required by State statutes, in every contract, including procurement of materials and leases of equipment.
- (17) (State Contracts) That execution of this agreement constitutes the LA's concurrence in the award of the construction contract to the responsible low bidder as determined by the STATE.
- (18) That for agreements exceeding \$100,000 in federal funds, execution of this Agreement constitutes the LA's certification that:
- (a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or any employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any cooperative agreement, and the extension, continuation, renewal, amendment or modification of any Federal contract, grant, loan or cooperative agreement;
  - (b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress, in connection with this Federal contract, grant, loan or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions;
  - (c) The LA shall require that the language of this certification be included in the award documents for all subawards at all ties (including subcontracts, subgrants and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.
- (19) To regulate parking and traffic in accordance with the approved project report.
- (20) To regulate encroachments on public right-of-way in accordance with current Illinois Compiled Statutes.
- (21) To regulate the discharge of sanitary sewage into any storm water drainage system constructed with this improvement in accordance with current Illinois Compiled Statutes.
- (22) That the LA may invoice the STATE monthly for the FHWA and/or STATE share of the costs incurred for this phase of the improvement. The LA will submit supporting documentation with each request for reimbursement from the STATE. Supporting documentation is defined as verification of payment, certified time sheets, vendor invoices, vendor receipts, and other documentation supporting the requested reimbursement amount.
- (23) To complete this phase of the project within three years from the date this agreement is approved by the STATE if this portion of the project described in the Project Description does not exceed \$1,000,000 (five years if the project costs exceed \$1,000,000).
- (24) Upon completion of this phase of the improvement, the LA will submit to the STATE a complete and detailed final invoice with all applicable supporting supporting documentation of all incurred costs, less previous payments, no later than one year from the date of completion of this phase of the improvement. If a final invoice is not received within one year of completion of this phase of the improvement, the most recent invoice may be considered the final invoice and the obligation of the funds closed.
- (25) (Single Audit Requirements) That if the LA receives \$500,000 or more a year in federal financial assistance they shall have an audit made in accordance with the Office of Management and Budget (OMB) Circular No. A-133. LA's that receive less than \$500,000 a year shall be exempt from compliance. A copy of the audit report must be submitted to the STATE with 30 days after the completion of the audit, but no later than one year after the end of the LA's fiscal year. The CFDA number for all highway planning and construction activities is 20.205.

#### THE STATE AGREES:

- (1) To provide such guidance, assistance and supervision and to monitor and perform audits to the extent necessary to assure validity of the LA's certification of compliance with Titles II and III requirements.
- (2) (State Contracts) To receive bids for the construction of the proposed improvement when the plans have been approved by the STATE (and FHWA, if required) and to award a contract for construction of the proposed improvement, after receipt of a satisfactory bid.
- (3) (Day Labor) To authorize the LA to proceed with the construction of the improvement when Agreed Unit Prices are approved and to reimburse the LA for that portion of the cost payable from Federal and/or State funds based on the Agreed Unit Prices and Engineer's Payment Estimates in accordance with the Division of Cost on page one.
- (4) (Local Contracts) That for agreements with Federal and/or State funds in engineering, right-of-way, utility work and/or construction work:

- (a) To reimburse the LA for the Federal and/or State share on the basis of periodic billings, provided said billings contain sufficient cost information and show evidence of payment by the LA;
- (b) To provide independent assurance sampling, to furnish off-site material inspection and testing at sources normally visited by STATE inspectors of steel, cement, aggregate, structural steel and other materials customarily tested by the STATE.

**IT IS MUTUALLY AGREED:**

- (1) That this Agreement and the covenants contained herein shall become null and void in the event that the FHWA does not approve the proposed improvement for Federal-aid participation or the contract covering the construction work contemplated herein is not awarded within three years of the date of execution of this Agreement.
- (2) This Agreement shall be binding upon the parties, their successors and assigns.
- (3) For contracts awarded by the LA, the LA shall not discriminate on the basis of race, color, national origin or sex in the award and performance of any USDOT – assisted contract or in the administration of its DBE program or the requirements of 49 CFR part 26. The LA shall take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of USDOT – assisted contracts. The LA's DBE program, as required by 49 CFR part 26 and as approved by USDOT, is incorporated by reference in this Agreement. Upon notification to the recipient of its failure to carry out its approved program, the department may impose sanctions as provided for under part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31U.S.C. 3801 et seq.). In the absence of a USDOT – approved LA DBE Program or on State awarded contracts, this Agreement shall be administered under the provisions of the STATE's USDOT approved Disadvantaged Business Enterprise Program.
- (4) In cases where the STATE is reimbursing the LA, obligations of the STATE shall cease immediately without penalty or further payment being required if, in any fiscal year, the Illinois General Assembly or applicable Federal Funding source fails to appropriate or otherwise make available funds for the work contemplated herein.
- (5) All projects for the construction of fixed works which are financed in whole or in part with funds provided by this Agreement and/or amendment shall be subject to the Prevailing Wage Act (820 ILCS 130/0.01 et seq.) unless the provisions of that Act exempt its application.

**ADDENDA**

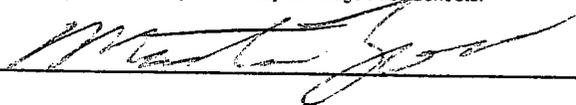
Additional information and/or stipulations are hereby attached and identified below as being a part of this Agreement.

Number 1 Location Map    Number 2 Letter of Intent dated July 12, 2007    Number 3 Signature Resolution

(Insert addendum numbers and titles as applicable)

The LA further agrees, as a condition of payment, that it accepts and will comply with the applicable provisions set forth in this Agreement and addenda indicated above.

**APPROVED**

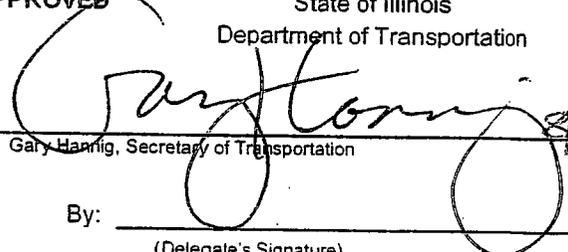
Name Martin Lyons  
 Title Acting City Manager  
County Board Chairperson/Mayor/Village President/etc.  
 Signature   
 Date \_\_\_\_\_

TIN Number 36-6005870

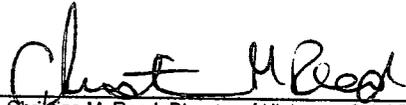
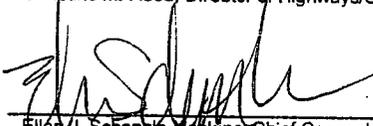
**NOTE:** If signature is by an APPOINTED official, a resolution authorizing said appointed official to execute this agreement is required.

**APPROVED**

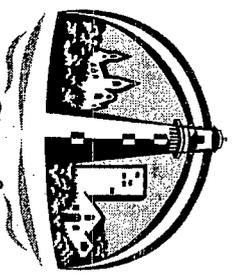
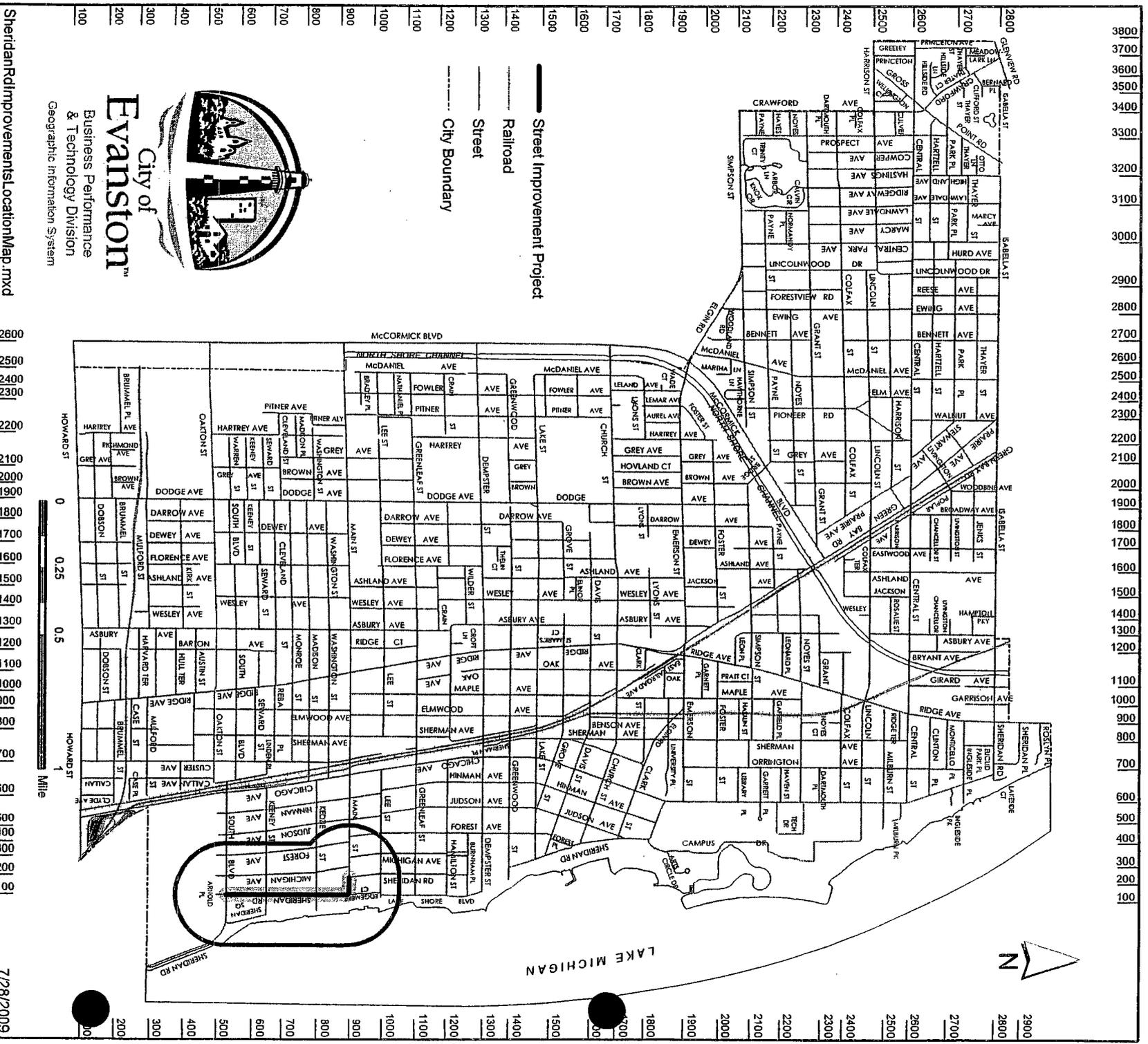
State of Illinois  
 Department of Transportation

  
 Gary Hawrig, Secretary of Transportation    8/20/09    Date  
 By: \_\_\_\_\_  
 (Delegate's Signature)

(Delegate's Name – Printed)

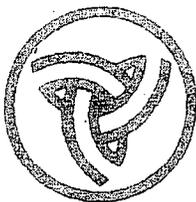
    8/20/09    Date  
 Christine M. Reed, Director of Highways/Chief Engineer  
    8-13-09    Date  
 Ellen J. Schanzle-Maskins, Chief Counsel  
    8/20/09    Date  
 Ann L. Schneider, Director of Finance and Administration

# Sheridan Road Improvement Project



City of  
**Evanston**<sup>TM</sup>  
Business Performance  
& Technology Division  
Geographic Information System

This map is provided "as is" without warranties of any kind. See [www.cityofevanston.org/mapdisclaimers.htm](http://www.cityofevanston.org/mapdisclaimers.htm) for more information.

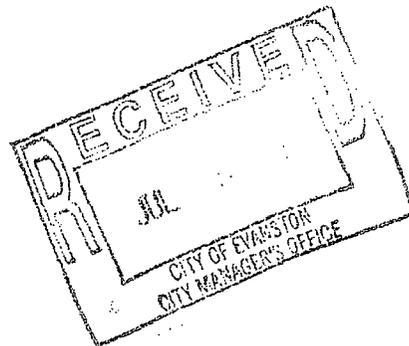


# Illinois Department of Transportation

Division of Highways/Region One / District One  
201 West Center Court/Schaumburg, Illinois 60196-1096

July 12, 2007

Ms. Julia Carroll  
Manager  
City of Evanston  
2100 Ridge Avenue  
Evanston, IL 60201



Dear Ms. Carroll:

The Department has received your letter dated June 14, 2007 regarding State participation in the proposed improvements along Sheridan Road in the City of Evanston, as outlined in the May 17, 2007 Letter of Intent. Subsequent coordination between IDOT and City of Evanston staff has resulted in the need to modify the May 17, 2007 Letter of Intent. This letter shall serve as a new Letter of Intent for the improvement and ultimate transfer of maintenance and jurisdiction of Sheridan Rd from Ridge Avenue to South Boulevard to the City of Evanston.

There are currently three sources of federal funds dedicated to the improvement of Sheridan Road in the City of Evanston. This funding includes:

- \$800,000 federal funding (HPP-SAFETEA LU) requiring a \$200,000 local match
- \$427,500 federal funding (section 117) requiring no local match
- \$1,596,800 federal funding (HPP-SAFETEA LU) requiring a \$399,200 local match

The current funding allocated to the improvement of Sheridan Road in the City of Evanston totals to \$2,824,300 (federal) and \$599,200 (required local match).

The City of Evanston has requested that additional funding be dedicated to the improvement of Sheridan Road in the City of Evanston in exchange for a jurisdictional transfer of this road from State of Illinois to the City of Evanston. The Department is amenable to this request. The Department will financially participate in the non-federal portion of the payable construction items for the locally initiated improvement along Sheridan Road in the City of Evanston, in lieu of resurfacing this portion of Sheridan Road. The total anticipated State participation in the subject project shall not exceed \$3,000,000. In exchange for State financial participation, the jurisdiction of Sheridan Road in the City of Evanston will be transferred from IDOT to the City. The exact limits of the jurisdictional transfer will be as follows.

Ms. Julia Carroll

July 12, 2007

Page two

The jurisdictional transfer of Sheridan Road will be from the intersection of Ridge Avenue, Isabella Street, and Sheridan Road to the north edge of pavement of South Boulevard, a distance of approximately 3.25 miles. Sheridan Rd from the intersection of Ridge Avenue, Isabella Street, and Sheridan Road to the northern City of Evanston corporate limits is already being jurisdictionally transferred to the City of Evanston as part of another locally initiated Sheridan Road project for which the Village of Wilmette is the lead agency. Upon execution of these two jurisdictional transfers, Sheridan road within the corporate limits of Evanston north of South Boulevard will be entirely under City of Evanston jurisdiction. Sheridan Road within the City of Evanston is known by multiple names including Sheridan Road, Forest Place, Forest Avenue, and Burnham Place. All of these sections of Sheridan Road, regardless of name, will be included in the jurisdictional transfer, and may be viewed on the attached maps.

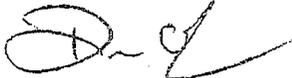
It is our understanding that the City wishes to move forward with this project as quickly as possible, and will accept a transfer of maintenance and jurisdiction of the subject roadway in its present condition, which will allow the City to complete plans and begin construction in the most expeditious manner possible. Funding for reimbursement to the City of Evanston is currently included in the Department's Fiscal Year 2008-2013 Proposed Highway Improvement Program.

The City of Evanston will continue to act as the lead agency and will continue to coordinate this improvement through the District's Bureau of Local Roads & Streets. The jurisdiction of Sheridan Road will be transferred 21 days after execution of the formal intergovernmental agreement for the aforementioned locally initiated project. If you are in general agreement with the above, please complete the concurrence signature block at the end of this letter and return the signed original to this office at your earliest convenience. This Letter of Intent, once executed, will be used as the basis for preparation of the formal intergovernmental agreement between the Department and the City. In the fiscal year the reimbursement funds become available, a separate formal intergovernmental agreement between the Department and the City will be prepared to facilitate payment of the reimbursement.

Ms. Julia Carroll  
July 12, 2007  
Page three

If you have any questions or need additional information, please contact me or Mr. Steve Mastny, Area Programmer, at (847) 705-4075.

Very truly yours,



Diane M. O'Keefe, P.E.  
Deputy Director of Highways,  
Region One Engineer

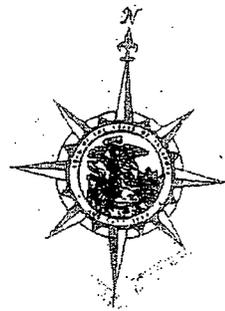
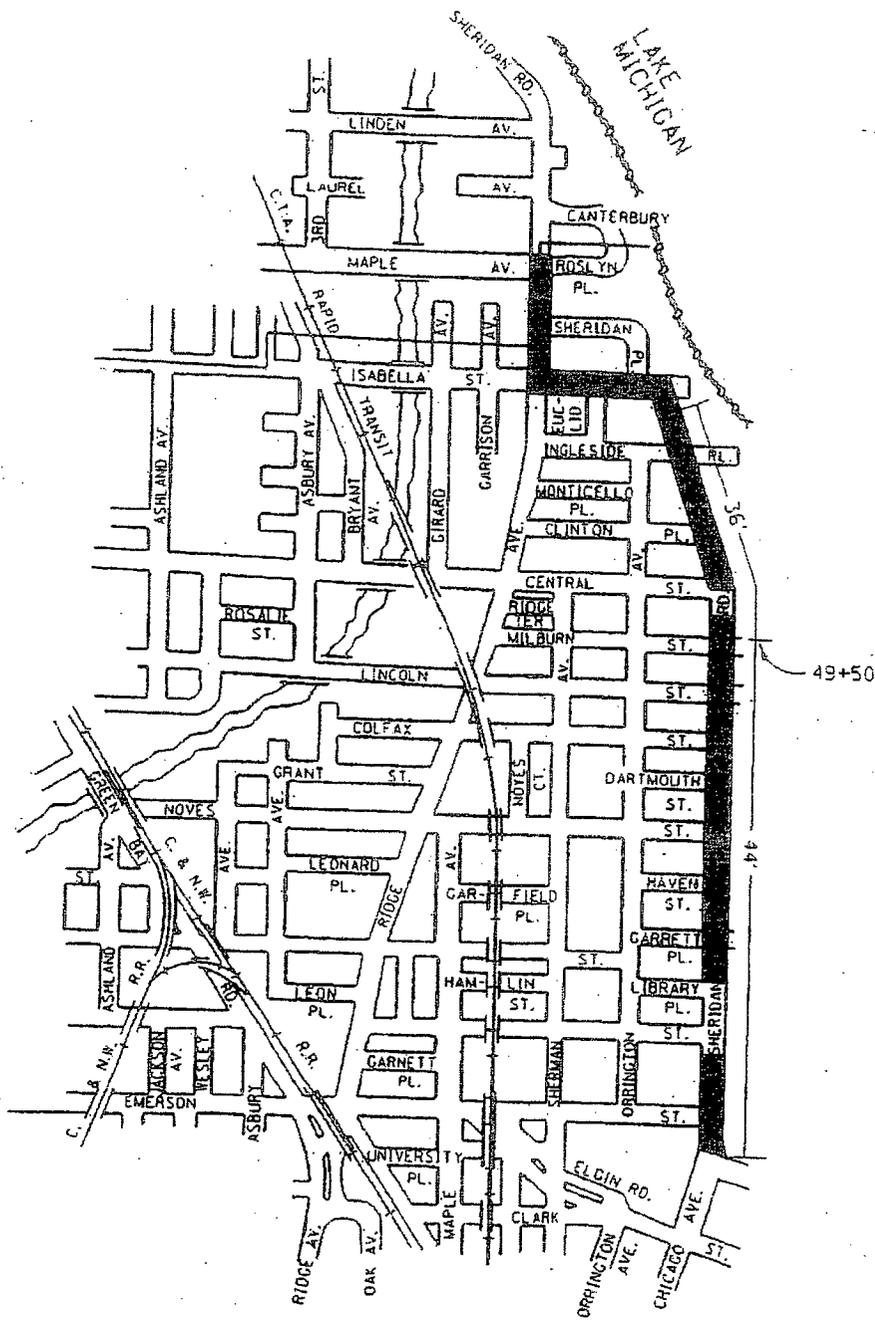
Concur Julia A. Carroll  
Do Not Concur \_\_\_\_\_  
Title City Manager  
Date 8-22-07

BUREAU OF PROGRAMMING  
RECEIVED  
NOV 23 2007  
DISTRICT #1

BUREAU OF PROGRAMMING  
RECEIVED  
NOV 28 2007  
DISTRICT #1

# Sheridan Road in Evanston, IL

## North Section



# Sheridan Road in Evanston, IL

## South Section

